San José State University  
Lucas Graduate School of Business  
Master of Science in Transportation Management  
MTM 214: Transportation Policy and Regulation  
Fall-A 2019

Instructor: Dr. James Brent  
Office Location: 457 Clark Hall, SJSU Campus  
Telephone: 408-924-5572  
Email: james.brent@sjsu.edu  
Office Hours: By appointment  
Class Days/Time: Thursdays, 5:30-9:30, August 1 - October 3  
Classroom: Via videoconference and Zoom  
Credit units: 3  
Grading rules: Normal grade rules  
Prerequisites: None

Course Format
Students must have regular access to email and the internet in order to communicate with the instructor, submit assignments, and engage in other class activities.

Students attend class sessions by going in person to one of the MTM program videoconferencing sites *or* by joining online using Zoom, SJSU’s online meeting application. Details on each option are as follows.

MTM Class Videoconferencing Sites:
Videoconference sites are located at the Lucas Business Complex (Santa Clara, CA), Caltrans district offices, and other participating agencies. For information about these options, contact the MSTM Program Coordinator, Michelle Waldron, at michelle.waldron@sjsu.edu.

Online Access via Zoom:
You can join class using SJSU Zoom from any location, as long as you:
- Are in a quiet room without distractions (e.g., no family members or colleagues walking through or asking questions)
- Have stable internet access
- Use a video camera and good quality microphone so that you are seen as well as heard
- Follow good "meeting etiquette" principles (one such list: https://blog.gotomeeting.com/7-rules-virtual-meeting-etiquette-every-professional-know/)

To access class sessions by Zoom, click on the following link from your computer or tablet: https://sjsu.zoom.us/j/153851791

Plan to join at least ten minutes before 5:30 pm, to make sure you are ready when class begins. (The very first time you join from a computer or device, allow extra time for set-up.)

The university has many useful tutorials on how to use Zoom here: http://www.sjsu.edu/ecampus/teaching-tools/zoom/index.html

**Course Description**

Surveys political frameworks of governments as both customer and provider; development of transportation policy with public involvement; and performance measurement with public oversight. Reviews policy impact on intermodal development in seeking to manage public and private objectives and diverse agendas of federal, state and local agencies.

**MSTM Program Goals**

(∗Note: Not all program learning goals are covered in every course)

- **Goal One: Management of Transportation Organizations:** Develop a systems-savvy and global perspective on solving transportation management challenges
- **Goal Two: Transportation Policy:** Develop solutions to transportation management challenges that integrate knowledge of the transportation policy environment
- **Goal Three: Leadership:** Develop potential for leadership in transportation organizations
- **Goal Four: Communication Skills:** Communicate effectively with a diverse workforce and citizenry
- **Goal Five: Analytical Skills:** Identify and evaluate transportation management issues using appropriate methodological approaches

**Course Learning Outcomes**

Upon successful completion of this course, students will be able to:

- distinguish between the various sources of transportation law & policy
• Analyze policy problems to identify the relevant legislative structures that guide the decision
• conduct legal research related to transportation issues
• explain the main federal laws related to transportation
• describe the leading Supreme Court cases related to transportation
• explain the tradeoffs between public and private interests in various aspects of transportation policy
• Analyze a transportation management scenario to identify the appropriate roles for the different government entities

Required Texts/Readings

Textbook

Other Readings
Reading for the remaining weeks will consist of journal articles and court cases. These readings will be distributed to you in .pdf format for free via the course web site.

Dropping and Adding
Students are responsible for understanding the policies and procedures about add/drops, academic renewal, etc. Information on add/drops are available at [http://www.sjsu.edu/advising/faq/index.htm#add](http://www.sjsu.edu/advising/faq/index.htm#add)

Information about late drop is available at [http://www.sjsu.edu/aars/policies/latedrops/](http://www.sjsu.edu/aars/policies/latedrops/)

Students should be aware of the current deadlines and penalties for adding and dropping classes.

Assignments and Grading Policy
Your grade will consist of the following elements:

• Midterm (August 29) = 25% [Learning Objectives 1, 4, 5, 6, 7]
• Final Exam (October 3) = 30% [Learning Objectives 1, 2, 4, 5, 6]
• Research Paper (due October 3) = 30% [Learning Objectives 1, 3, 5, 6, 7]
• Oral presentation or leading discussion = 5%
• Participation = 10%

The midterm and final examinations shall be administered on-line, and will usually be available for at least 48 hours. They consist exclusively of essay questions, and they will be based primarily on your understanding of the assigned readings, the legal concepts involved, and the arguments made therein. The research and oral presentation are described more fully at the end of the syllabus. Participation is assessed on quantity rather than quality – I am not judging the “worthiness” of your contribution to the class. No extra credit will be available.
Late Assignments – I am fairly flexible about allowing makeup exams when a student has an unavoidable conflict or an unexpected emergency. I am not at all flexible about due dates for the term paper, because the due date is not the only day that you may submit your paper – it is merely the last day you may submit your paper without penalty. The penalty for submitting the term paper late – regardless of excuse – is 10% of the total points possible for that assignment.

Final letter grades for the course will be assigned based on the following scale:

<table>
<thead>
<tr>
<th>Grade</th>
<th>Percentage Range</th>
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<tbody>
<tr>
<td>A</td>
<td>93 to 100%</td>
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<tr>
<td>A-</td>
<td>90 to 92%</td>
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<tr>
<td>B+</td>
<td>88 to 89%</td>
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<tr>
<td>D</td>
<td>63 to 67%</td>
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<tr>
<td>B-</td>
<td>80 to 82%</td>
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<tr>
<td>C+</td>
<td>78 to 79%</td>
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<tr>
<td>C</td>
<td>73 to 77%</td>
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<tr>
<td>C-</td>
<td>70 to 72%</td>
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<tr>
<td>D+</td>
<td>68 to 69%</td>
</tr>
<tr>
<td>D</td>
<td>63 to 67%</td>
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<tr>
<td>D-</td>
<td>60 to 62%</td>
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<tr>
<td>F</td>
<td>59% or less</td>
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Classroom Protocol for the Lucas College Graduate School of Business
http://www.sjsu.edu/cob/Students/policies/index.html

University Policies

Per University Policy S16-9, university-wide policy information relevant to all courses, such as academic integrity, accommodations, etc. will be available on Office of Graduate and Undergraduate Programs’ Syllabus Information web page at http://www.sjsu.edu/gup/syllabusinfo/

Lucas College and Graduate School of Business Mission

We are the institution of opportunity in Silicon Valley, educating future leaders through experiential learning and character development in a global business community and by conducting research that contributes to business theory, practice and education.
<table>
<thead>
<tr>
<th>Week</th>
<th>Date</th>
<th>Topics, Readings, Assignments, Deadlines</th>
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</thead>
<tbody>
<tr>
<td>1</td>
<td>08/01</td>
<td>Introduction to the Course&lt;br&gt;A Brief History of Transportation Law in the U.S.</td>
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<tr>
<td>2</td>
<td>08/08</td>
<td>Constitutional Foundations (Part 1) – The Takings Clause</td>
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<td>3</td>
<td>08/15</td>
<td>Constitutional Foundations (Part 2)&lt;br&gt;The Interstate Commerce Clause &amp; Preemption&lt;br&gt;The “Dormant” Commerce Clause</td>
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<td>4</td>
<td>08/22</td>
<td>The Legislative Process and SAFETEA-LU <em>[All Roads Lead to Congress]</em> discussion&lt;br&gt;Making Regulations</td>
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<tr>
<td>5</td>
<td>08/29</td>
<td>MIDTERM EXAM</td>
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<tr>
<td>6</td>
<td>09/05</td>
<td>Transportation &amp; Discrimination&lt;br&gt;--Racial Discrimination &amp; Equity in Transit Planning&lt;br&gt;--Disability Discrimination&lt;br&gt;&lt;b&gt;GUEST SPEAKER -- Laurie Berman, Former Executive Director, CALTRANS&lt;/b&gt;</td>
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<tr>
<td>7</td>
<td>09/12</td>
<td>Transportation &amp; the Environment&lt;br&gt;&lt;b&gt;GUEST SPEAKER: David Castagnetti, Co-Founder, Mehlman Castagnetti&lt;/b&gt;</td>
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<td>8</td>
<td>09/19</td>
<td>Public Transit &amp; Congestion Mitigation&lt;br&gt;--Urban Mass Transit&lt;br&gt;--Strategies for Mitigation&lt;br&gt;&lt;b&gt;GUEST SPEAKER: Jeff Morales, Former CEO, California High-Speed Rail Authority&lt;/b&gt;</td>
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<td>9</td>
<td>09/26</td>
<td>Free Speech &amp; Transportation&lt;br&gt;Transportation &amp; Privacy</td>
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<tr>
<td>10</td>
<td>10/03</td>
<td>FINAL EXAM (and remaining presentations, if needed)</td>
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“There is hardly a political question in the United States which does not sooner or later turn into a legal one.” -- Alexis de Tocqueville

Transportation agencies and officials are regularly subject to lawsuits on all manner of topics. Many of these cases raise extremely interesting factual and legal questions. Your term paper assignment is to locate and write about one of these cases that interest you. Although the case should be somehow related to one of the broad themes of the course, I will attempt to grant you as much flexibility as possible.

The case you write about should have the following elements (however, exceptions to virtually all of these requirements can be waived pursuant to consultation with me):

- It should have been initiated or decided since 2000
- It should involve surface transportation
- It should involve a law or regulation passed or a transportation project undertaken by either the federal government or a state, county or municipal government
- It should not be the subject of one of the assigned readings in this course
- It should be controversial

The paper should consist of four parts:

**Part 1 -- Introduction** -- A brief description of the facts of the case and the law being challenged, and an identification of the public and private interests involved

**Part 2 -- The Intergovernmental Context** -- Most transportation projects and policies involve a complex web of law at the federal, state and local level. In this section, you should identify and explain the different roles played by the federal, state, and local governments in the project or issue you’ve selected. If applicable, you should also identify and briefly explain any constitutional issues related to federalism that are relevant to your topic.

**Part 3 -- The Constitutional/Legal Context** - This section should fully explain the legal challenge(s) made against the law, including any additional constitutional provisions that might apply, as well as an discussion of the major relevant precedents that might apply. If the Supreme Court or another court has issued a decision in the case, that decision, as well as any concurrences and dissents, should be discussed.

**Part 4 - Your Analysis** -- In this part, you should apply the legal tests discussed in parts 2 and 3 to the facts of your case and should analyze whether the court reached the correct decision, or (if the case has not yet been decided) what decision the court should reach.

Your paper should possess the following characteristics:
1) It should be at least 15 typed, double-spaced pages long and utilize a minimum of 10 sources. Many of these sources should be academic in nature.
2) It should contain appropriate attribution. I do not care what citation format you utilize as long as you use one consistently.
3) It should display proper grammar and spelling.

In addition, students will be asked to give a 15-minute in-class presentation on their topic to the class. In-class presentations will be scheduled for weeks 6 through 9, and 10 if needed.

**Oral Presentation** -- Every student will make a 10-minute oral presentation at some point during the semester. This presentation will take one of two forms. 1) Some students will act as “discussion leaders” on an assigned reading, responsible for summarizing the reading and leading the discussion on that reading. 2) The remaining students will present a 10-minute talk on their research paper. This is worth 5% of your course grade.